

Full assessment – run

	0	1-3	4-7	8-10
Gathering	No impact	Data trivial/simple to repeatedly collect. Definitions stable. Few submissions only. Simple to roll into BAU.	Some additional time/process to collect but within current BAU. Submit more than once per cycle. Time between setup and run is too short.	Data hard to collect, high amount of manual processing and no way to systemise the collection process. Move from setup to live very challenging.
Assurance of data quality	No impact	Simple rules and coverage mean no or very little further DQ work required to translate internal data to external submission.	Additional manual effort often required to meet quality goals/rules. MI not well aligned to support few submissions.	Complex rules and coverage will lead to multiple resubmissions to reach required level of quality. Manual processes always required.
Extraction and transformation	No impact	Simple, automated extraction, and load requiring very little or no manual intervention.	Authoritative source of data may change. Some manual ETL processes required.	Data may come from multiple conflicting sources. Impossible to automate extraction. High level of manual ETL process.
Transmission (load)	No impact	Automated transmission. Simple to validate success.	Manual checking required before transmission but can be specified in repeatable process.	Manual intervention required for transmission. Specialist knowledge required. Cannot proceduralise.
System change and development	No impact	No system changes.	Occasional workarounds required when system does not work with new edge cases.	Ongoing system changes required to support complex requirements.
Training and guidance	No impact	No training required. Guidance is simple to understand.	Requires ongoing training for new staff only.	Requires subject matter expertise at a high level for every submission. Single point of failure.